

INVESTMENT SCAM CHECKLIST

OFFER of high or fantastic earnings on your investments or some business opportunities?



THINK



EXAMINE



STUDY

If you come across any investment offer by phone, e-mail, flyers, newspaper ads, or directly by any person, or by any other means, you must ask questions to protect yourself and your money...



How?

Get the following information:

1. Name of the person and the company making the offer.
 2. Address of both the person and the company.
 3. Phone number, particularly the land line. Do not accept cellular phone number(s) — the owner cannot be traced.
 4. SEC Registration as an investment taker. Please bear in mind the following:
 - a. SEC company registration does not grant authority to sell investment instruments, such as securities, bonds, commercial papers, or similar financial instruments.
- Important!!! >>> Do not be tricked or tempted by offers of promissory notes or post-dated checks which will be issued in your favor to allegedly ensure the payment of earnings and the reimbursement of your investments.*
- b. Only investment houses and financing companies with QB (quasi-banking) license and with SEC registered securities may offer to sell the same to more than 19 investors.
 - c. Only SEC registered persons (brokers/dealers/sales man) may offer or sell SEC registered securities to the public.

Do NOT deal with THEM!

IF

1. Unwilling or hesitant to give any of the information
2. No SEC registration or appropriate license to engage in activities indicated in 4b or 4c.
3. Claims to have QB license or SEC registered securities.
 - a. Get the information such as the number of investors, minimum placement, rate of return, etc.
 - b. Check and verify the name of the company from the list of companies who may offer investments as posted on the SEC Website.

Inquire from the SEC Corporate Governance & Finance Department 584-6103 or the Markets & Securities Regulation Department 584-5703

4. Fails to give information or not listed in the SEC Website



What to do?

1. Report to the Enforcement and Investor Protection Department (02) 584-6337.
2. Seek NBI or police assistance in case of emergency situation



Securities & Exchange Commission
Republic of the Philippines
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LIST OF SEC ADVISORIES FOR THE YEAR 2019

	Name of Entity	Date Posted in the SEC Website
1	BROILERPRENEUR CORPORATION	31 May 2019
2	ADA FARM AGRI VENTURES ("ADA FARM")	31 May 2019
3	MGA BUSINESS ENTERPRISES	31 May 2019
4	COOPHUB MULTIMEDIA SERVICES	31 May 2019
5	JOGLE INNOVATIVE MARKETING	31 May 2019
6	GRAPPLER	31 May 2019
7	SHERPAN	31 May 2019
8	BCT MARKETING/BCT MOTORCYCLE AND CAR TRADING	31 May 2019
9	RTM/RTM PHARMACY AND GENERAL MERCHANDISE	31 May 2019
10	DIAMOND MARKETING	31 May 2019
11	FUSION MARKETING	31 May 2019
12	FMARKET	31 May 2019
13	CIRFUND	31 May 2019
14	VIBEARN	31 May 2019
15	ONEPRO	31 May 2019
16	BCC/BCC COSMETICS TRADING	31 May 2019
17	UNLISHOP COMPENSATION PLAN MARKETING	31 May 2019
18	VUCC	31 May 2019
19	BITRAIN	31 May 2019
20	TCOIN	31 May 2019
21	CROWD ROYALS	31 May 2019
22	NERMIE MARKETING/NERMIE HEALTH AND BEAUTY PRODUCTS TRADING	31 May 2019
23	EVER ARM ANY MARKETING	31 May 2019
24	GLOBAL DREAM ZION	29 May 2019
25	RIGEN MARKETING ("RIGEN")	24 May 2019
26	LDT AGRO INDUSTRIAL HUB CORPORATION ("LDT"), in partnership with NUTRIWEALTH MULTIPURPOSE COOPERATIVE ("NMPC") now VCM-NW CORPORATION ("VCM-NW")	08 May 2019
27	DV BOER FARM CORPORATION	30 April 2019
28	ALMAMICO (Alabel-Maasim Small Scale Mining Cooperative)/ALAMCO (Alabel-Maasim Credit Cooperative)	30 April 2019
29	KAPA-COMMUNITY MINISTRY INTERNATIONAL, INC. (KAPA)	11 March 2019
30	UNITY WEALTH MARKETING/UWEALTH PREMIUM/UNITYWEALTH 100	07 March 2019
31	NORTH EAST LUZON FOUNDATION, INC.	31 January 2019
32	PAYSBOOK E-COMMERCE SYSTEM CORPORATION	09 January 2019
33	ANGEL INVESTOR GROUP, INC.	04 January 2019